



SIZE/CLASSIFICATION SELF-CERTIFICATION FORM

In order for ConvaTec Inc. (CvT) to be compliant with the Federal Government guidelines it is imperative that current suppliers confirm their business size and classification by completing and returning this form to:

Breann Manning, Supplier Diversity, ConvaTec Inc., 1160 Route 22 Suite 304 Bridgewater, NJ 08807

Also include copies of your current certifications if you are certified by a local, state, national or council agency

Supplier Name	_____	If Available:
Address	_____	CvT Supplier ID # _____
City, State, Zip	_____	D&B DUNS # _____
Contact Person	_____	
E-Mail Address	_____	
Phone/Fax Number	_____	
Website	_____	

SIZE: Check ALL areas that apply to your business (see Small & Diverse Business Classifications - page 2):

- _____ Small Business
- _____ Small Disadvantaged Business
- _____ HUBZone Small Business (Certified by SBA)
- _____ Woman Owned Small Business
- _____ Veteran Owned Small Business
- _____ Service Disabled Veteran Owned Small Business
- _____ Historically Black College/University or Minority Institution
- _____ Woman Owned Business
- _____ Minority Owned Business: **If Minority, list ethnicity** _____
- _____ Disabled Owned Business
- _____ Large Business (including non-profit)

Primary NAICS Code _____ **Number of Employees** _____

You may wish to review the definitions for the above categories in the Federal Acquisition Regulation 19.7 or 52.219-8 (www.arnet.gov/far). If you have difficulty ascertaining your size status, please call 1-800-U-ASK-SBA or refer to SBA's website at <http://www.sba.gov/size/sizetable2002.html>.

I hereby certify that all information provided above and in attachments hereto is true and complete to the best of my knowledge and belief.

Signature _____ Title _____
(Must be senior management level)

Printed Name _____ Date _____

*******FOR CvT INTERNAL USE ONLY*******

_____ SDB and/or HUBZone Status and Primary NAICS Code have been verified in SBA's CCR Database

Name _____ Date _____

Attachment: Small and Diverse Business Classifications

Definition of Large Business:

Large Business:	According to the federal government, this definition may vary based on your industry; for our purposes, we are referring to businesses that do not meet the size standard list at 13 CFR Part 121.201 via http://sba.gov/size
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Definitions of Small and/or Diverse Business:

<u>Certified 8(a) Business</u>	Business is owned and operated by socially and economically disadvantaged individuals and is eligible to receive federal contracts under the Small Business Administration's 8(a) Business Development Program. All individuals must have a net worth of less than \$250,000, excluding the value of the business and primary residence. 8(a) firms automatically qualify for Small Disadvantaged Business certification.
<u>Disabled-Owner Business</u>	At least 51% owned by handicapped persons who exercise the power to make policy decisions and who are actively involved in the day-to-day management of the business. A handicapped person has a physical or mental impairment that substantially limits one or more major life activities, has a record of such impairment, or is regarded as having such impairment.
<u>HUBZone Business</u>	Historically Underutilized Business Zones (HUBZone) FAR 52.219-8, 13 CFR Part 126 Must be certified by SBA as a HUBZone Small Business. Business is located in a historically underutilized zone and at least 35% of employees reside in a HUBZone. Certification must be verified in the SBA's CCR database via http://www.ccr.gov/ in the Dynamic Small Business Search area.
<u>Minority Owned Business</u>	Minority-Owned Business (MBE) Agency Certification or NMSDC Certified. A small business concern that is at least 51% owned by one or more minorities (i.e. African-American, Asian Indian American, Asian-Pacific American, Hispanic American, Native American), or, in the case of any publicly owned business, at least 51% of the stock is owned by one or more minorities. In addition, one or more minorities must control the management and daily business operations.
<u>Service-Disabled Veteran Business</u>	Service Disabled Veteran Business (SDVOSB) FAR 52.219-8, USC 101(16) Self Certification. A small business concern that is at least 51% owned by one or more service disabled veterans (as defined in 38 USC 101(16)), or, in the case of any publicly owned business, at least 51% of the stock is owned by one or more service disabled veterans. In addition, the management and daily business operations must be controlled by one or more service disabled veterans. In the case of a permanent or severe disability, the spouse or caregiver of such a service-disabled veteran may control the management and daily operations.
<u>Small Business</u>	Small Business (SB) FAR 52.219-8, 13 CFR Part 121, 13 CFR 121.410 Self Certification. A small business does not exceed the size standard for the product or service it is providing on the subcontract (see the NAICS codes and size standard list at 13 CFR Part 121.201 via http://sba.gov/size)
<u>Small Disadvantaged Business</u>	Small Disadvantaged Business (SDB) FAR 52.219-8, 13 CFR 124 Must be certified by SBA as SDB. A small business that has received certification as a SDB consistent with 13 CFR 124, Subpart B, and no material change in disadvantaged ownership and control has occurred since certification. (All individuals must have a net worth of less than \$750,000 excluding the equity of the business and primary residence.) Certification must be verified in the SBA's CCR database via http://www.ccr.gov/ in the Dynamic Small Business Search area.
<u>Veteran-Owned Business</u>	Veteran-Owned Business (VOSB) FAR 52.219-9, 38 USC 101(2) Self Certification. A small business concern that is at least 51% owned by one or more veterans (as defined in 38 USC 101(2)), or, in the case of any publicly owned business, at least 51% of the stock is owned by one or more veterans. In addition, one or more veterans must control the management and daily business operations.
<u>Woman Owned Business</u>	Woman-Owned Business (WBE) FAR 52.219-8 Self Certification (or WBENC Certified). A business concern that is at least 51% owned by one or more women, or, in the case of any publicly owned business, at least 51% of the stock is owned by one or more women. In addition, one or more women must control the management and daily business operations.

PLEASE NOTE: In order to be included in our Supplier Diversity Program, please be sure to submit the Self-Certification Form and your current Diversity Certificates annually.